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Substitute for form 1449A-PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 3

Application Number	
Filing Date	10/31/2001
First Named Inventor	Christopher Rogers
Group Art Unit	
Examiner Name	
Attorney Docket Number	DEP 0639

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
PL	CA	JOHN W. BRANTIGAN, <i>Lumbar I/F Cage with VSP Spinal System Surgical Technique</i> , DePuy AcroMed, Raynham, MA 1999	
PL	CB	JOHN W. BRANTIGAN et al., <i>Posterior Lumbar Interbody Fusion Technique using the Variable Screw Placement Spinal Fixation System</i> , SPINE: State of the Art Reviews, January 1992, Vol. 6, No. 1, Handley & Belfus, Inc., Philadelphia, PA	
PL	CC	JOHN W. BRANTIGAN, <i>Lumbar I/F Cage Implants & Instruments Product Catalog</i> , DePuy AcroMed, Raynham, MA 1999	
PL	CD	JOHN W. BRANTIGAN, <i>Brantigan I/F Cage Implant for PLIF Technique Manual</i> , April 16, 1990	
PL	CE	JOHN W. BRANTIGAN, <i>Brantigan I/F Cage for PLIF Surgical Technique</i> , AcroMed, April 24, 1991	
PL	CF	PAUL H. HARMON, <i>Anterior Excision and Vertebral Body Fusion Operation for the Intervertebral Disk Syndromes of the Lower Spine: three to five year results in 244 Cases</i> , Clinical Orthopaedics and Related Research, Vol. 26, pp. 107-127, 1963, J.B. Lippincott Company, Philadelphia and Montreal.	
PL	CG	PAUL H. HARMON, <i>Congenital and Acquired Anatomic Variations, Including Degenerative Changes of the Lower Lumbar Spine; Role in Production of Painful Back and Lower Extremity Syndromes</i> , Clinical Orthopaedics and Related Research, Vol. 44, pp. 171-186, Jan-Feb. 1966, J.B. Lippincott Company, Philadelphia and Montreal.	
PL	CH	PAUL H. HARMON, <i>Indications for Spinal Fusion in Lumbar Diskopathy, Instability and Arthrosis, Part 1</i> , Clinical Orthopaedics and Related Research, Vol. 34, pp.73-91, May-Jun 1964, J.B. Lippincott Company, Philadelphia and Montreal.	
PL	CI	PAUL H. HARMON, <i>Indications for Spinal Fusion in Lumbar Diskopathy, Instability and Arthrosis, Part 2</i> , Clinical Orthopaedics and Related Research, Vol. 34, pp.92-107, May-Jun 1964, J.B. Lippincott Company, Philadelphia and Montreal.	
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PL	CK	PAUL H. HARMON et al., <i>Correlation of Multiple Objective Diagnostic Methods in Lower Lumbar Disk Disease</i> , Clinical Orthopaedics and Related Research, Vol. 28, pp. 132-151, 1963, J.B. Lippincott Company, Philadelphia and Montreal.	
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PL	CM	ALVIN H. MCKENZIE, MD, <i>Fernstrom Intervertebral Disc Arthroplasty: A Long-term Evaluation</i> , Orthopaedics International Edition, July/August 1995 Vol 3 No 4, pp. 313-324.	
PL	CN	GEORGE W. BAGBY, MD, MS, <i>Arthrodesis by the Distraction-Compression Method using a Stainless Steel Implant</i> , Orthopaedics, June 1988 Vol 11/No 6, pp. 931-934.	
PL	CO	PAUL M. LIN, MD, FACS, <i>Posterior Lumbar Interbody Fusion Technique: Complications and Pitfalls</i> , Clinical Orthopaedics and Related Research, Number 193, March 1985, pp. 90-102.	
PL	CP	JOHN C. COLLIS, MD, <i>Total Disc Replacement: A Modified Posterior Lumbar Interbody Fusion Report of 750 Cases</i> , Clinical Orthopaedics and Related Research, Number 193, March 1985, pp. 64-67.	
PL	CQ	GABRIEL W. C. MA, MD, FACS, <i>Posterior Lumbar Interbody Fusion with Specialized Instruments</i> , Clinical Orthopaedics and Related Research, Number 193, March 1985, pp. 57-63.	
PL	CR	RALPH B. CLOWARD, MD, <i>Posterior Lumbar Interbody Fusion Updated</i> , Clinical Orthopaedics and Related Research, Number 193, March 1985, pp. 16-19.	
PL	CS	PAUL M. LIN, MD et al., <i>Posterior Lumbar Interbody Fusion</i> , Clinical Orthopaedics and Related Research, Number 180, November 1983, pp. 154-168.	
PL	CT	RALPH B. CLOWARD, MD, <i>Spondylolisthesis: Treatment by Laminectomy and Posterior Interbody Fusion Review of 100 Cases</i> , Clinical Orthopaedics and Related Research, Number 154, January-February 1981, pp. 74-82.	
PL	CU	PAUL M. LIN, MD, <i>A Technical Modification of Cloward's Posterior Lumbar Interbody Fusion</i> , Neurosurgery, Vol. 1, No. 2, 1977, pp.118-124.	
PL	CV	ULF FERNSTROM, <i>Arthroplasty with Intercorporeal Endoprosthesis in Herniated Disc and in Painful Disc</i> , Acta Chirurgica Scandinavica, Supplement 357, pp. 154-159, Stockholm 1966	
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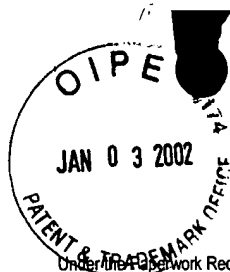
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 3	Application Number	09998972
	Filing Date	10/31/2001
	First Named Inventor	Christopher Rogers
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	DEP 0639

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
RL	AA	4586496	B1	Arnold Keller	05-06-1986	
RL	AB	4697586	B1	William Gazale	10-06-1987	
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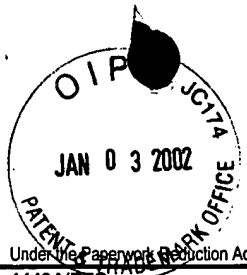
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	Attorney Docket Number	DEP 0639

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		Number	Kind Code ² (if known)			
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PL	AO	6224607	B1	Gary K. Michelson	05-01-2001	
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